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January 25, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. on January 25, 2002.

Bonnie S. Sheridan  
Date of Signature

Bonnie S. Sheridan  
25 JANUARY 2002

Commissioner of Patents  
Washington, D.C. 20231

Re: U.S. Patent Application Serial No. 09/997,162 for  
NON-THERMIONIC SPUTTER MATERIAL TRANSPORT DEVICE,  
METHODS OF USE, AND MATERIALS PRODUCED THEREBY  
Our Ref. No. 297/104/2

Sir:

Please find enclosed in connection with the subject U.S. patent application the following documents:

1. Preliminary Amendment (3 pages);
2. Amendment Transmittal Form (PTO/SB/21 - 2 pages); and
3. A return-receipt postcard to be returned to us with the U.S. Patent and Trademark Office filing stamp thereon.

Although it is believed that no fee is due, the Commissioner is hereby authorized to charge any deficiencies of payment associated with the filing of this correspondence to Deposit Account No. 50-0426.

Respectfully submitted,

JENKINS & WILSON, P.A.

David P. Gloekler

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DPG/bss  
Customer No. Bar Code Label:  
Enclosures



25297

PATENT TRADEMARK OFFICE

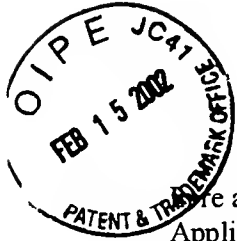
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Practitioner's Docket No. 297/104/2

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Re application of: Cuomo, Jerome J.; Williams, N. Mark

Application No.: 09/997,162

Group No.: Not Assigned

Filed: 11/29/2001

Examiner: Not Assigned

For: NON-THERMIONIC SPUTTER MATERIAL TRANSPORT DEVICE, METHODS OF USE, AND MATERIALS PRODUCED THEREBY

Commissioner for Patents  
Washington, D.C. 20231

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is a small entity.

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Date: January 25, 2002

Signature

Bonnie S. Sheridan

Bonnie S. Sheridan

(type or print name of person certifying)

### FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)	SMALL ENTITY	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Addit. Fee
Total	54	Minus	54	= 0	x \$9 =	\$0
Indep.	3	Minus	3	= 0	x \$42 =	\$0
First Presentation of Multiple Dependent Claim					+ \$140 =	\$0
Total						Addit. Fee \$0

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3,
  - \*\* If the "Highest No. Previously Paid For" IN THIS SPACE (Column 2, Row 1) is less than 20, enter "20".
  - \*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE (Column 2, Row 2) is less than 3, enter "3".
- The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

No additional fee for claims is required.

### FEE DEFICIENCY

5. Although it is believed that no fee is due, the Commissioner is hereby authorized to charge any deficiencies of payment associated with the filing of this correspondence to Deposit Account No. 50-0426.

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Bonnie S. Sheridan  
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25 JANUARY 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Cuomo et al.

Examiner: Unassigned

Serial No.: 09/997,162

Group Art Unit: N/A

Filed: 11/29/2001

Docket No.: 297/104/2

For: NON-THERMIONIC SPUTTER MATERIAL TRANSPORT DEVICE, METHODS OF USE, AND MATERIALS PRODUCED THEREBY

\*\*\*\*\*

PRELIMINARY AMENDMENT (AMENDMENT A)

Commissioner for Patents  
BOX NON-FEE AMENDMENT  
Washington, D.C. 20231

Sir:

AMENDMENTS

Please amend the subject U.S. Patent Application as follows:

IN THE SPECIFICATION:

Please add a new paragraph on page 1 between the section entitled "Description" and the section entitled "Technical Field". This new paragraph should read as follows:

Related Applications

This application claims the benefit of U.S. Provisional Patent Application Serial No. 60/250,297, filed November 30, 2000, the disclosure of which is incorporated herein by reference in its entirety.

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